

1 Patent Application of Joar Opheim
2 Flavored Gelatin Capsule and Method of Manufacture
3

4 This application is a continuation of application 10/292,999 filed on November 12, 2002, the
5 entire contents of which are incorporated herein by reference, which is a continuation of
6 application 10/041,877 filed on October 22, 2001, which is a continuation of application
7 09/416,017 filed on October 6, 1999 which is now U.S. Patent No. 6,346,231.
8

9 BACKGROUND OF THE INVENTION
10

11 1. Field of the Invention
12

13 This invention relates to capsule formulations, medicinal and nutritive dose encapsulations
14 and methods of manufacture of capsules. More specifically, the invention introduces flavoring into
15 the manufacture of capsules and encapsulated doses.
16

17 2. Conventional Art
18

19 The taste of many medicinal and nutritive components can be quite distinctive and
20 potentially unpleasant. Improvements in the taste of certain drugs and nutritional supplements can
21 lead to a higher compliance by consumers. A higher compliance will result in greater commercial
22 success for the drug and supplement manufacture and in increased health and well being particular
23 consumers.
24

25 Taste is both a matter of purely subjective preference. Yet human taste is also strongly
26 influenced by experience and cultural impressions. Broad generalizations about consumer taste
27 presence can thus sometimes be relied upon in predicting market acceptance of specific drug and
28 nutritive formulations. In Norway, for example the tastes of fish oils are far more palatable than in
29 the United States. As a consequence of this United States market aversion to the taste of fish oils,
30 many residents of the United States are less willing to ingest fish oils and will therefore not benefit

1 from the nutritional and medicinal qualities of fish oils.

2
3 Yet the composition of certain fish oils includes elements that are identified in medical
4 literature as providing significant health benefits. Polyunsaturated fatty acids, to include long chain
5 Omega 3 fatty acids such as eicosapentenoic acid (EPA) and docosahexenoic acid (DHA) are
6 present in the livers of lean fish and other tissues of
7 fatty fish. The human body cannot synthesize these fatty acids nor can it derive them from other
8 fatty acids. As these fatty acids provide both medicinal and nutritional benefits, an intake of up
9 two grams per day has been recommended by certain medical authorities.

10
11 It is suspected that Eicosanoids derived from EPA might have an anti-inflammatory effect
12 on humans. It has been suggested that EPA might decrease blood levels of TG lipids, increase
13 blood levels of high density lipids (HDL), decrease blood clotting, reduce the incidence of cardiac
14 arrhythmia and stabilize heart rhythm.

15
16 It has been suggested that DHA may also decrease blood levels of TG lipids, increases
17 blood levels of high density lipids (HDL). Furthermore, DHA might lower blood pressure, attack
18 early phases of inflammation, facilitate the growth, development and function of the central
19 nervous system and improves the clinical symptoms of depression and schizophrenia.

20
21 Increasing the consumption of recommended doses of certain Omega-3 fatty acids might
22 therefore have a medically and nutritionally beneficial affect on many consumers and patients. Yet
23 conventional techniques to improve the palatability of fish oils and other subjectively harsh tasting
24 substances are limited in the prior art to the addition of flavorings into a mixture of the substances
25 themselves. The flavoring of capsules of encapsulated formulations has been absent in the
26 conventional art.

27 28 OBJECTS OF THE INVENTION

29
30 It is therefore an object of the present invention to provide a method of manufacture of

1 gelatin capsules.

2 It is another object of the present invention to provide a gelatin capsule comprising a
3 flavor.

4 It is an additional object of the present invention to optionally provide a flavored gelatin
5 capsule containing a fish oil.

6 It is an yet another object of the present invention to optionally provide a flavored gelatin
7 capsule containing a flavored fish oil.

8 9 10 SUMMARY OF THE INVENTION 11

12 These and other objects and advantages of the present invention are achieved by providing
13 a flavored gelatin capsule comprising a water soluble flavor. The flavored gelatin capsule may
14 include about 10 to about 70 parts by weight of a gelatin, about 10 to about 35 parts by weight of
15 a glycerol, about 8 to about 35 parts by weight of a moisturizer and about 1 parts by weight of the
16 water soluble flavoring. The flavoring of the capsule improves the taste and palatability of the
17 capsule and will subjectively improve the taste of the gelatin and a dose or contents contained
18 within the flavored gelatin capsule to individual consumers or patients.

19
20 The flavor may be one of, or a combination of suitable flavors known in the art, to include
21 berry, strawberry, chocolate, cocoa, vanilla, lemon, nut, almond, cashew, macadamia nut,
22 coconut, blueberry, blackberry, raspberry, peach, lemon, lime, mint, peppermint, orange, banana,
23 chili pepper, pepper, cinnamon, and pineapple.

24
25 The gelatin capsule composition may include a polyol, such as sorbitol, glycerol or other
26 suitable softening agent known in the art.

27
28 A preferred embodiment of the present invention includes flavoring the contents of the
29 gelatin capsule in addition to flavoring the gelatin capsule. In particular, an oil soluble flavoring
30 may be optionally mixed with a fish oil that is encapsulated within the capsule. The oil soluble

1 flavoring may be similar to the taste of the flavor of the capsule, e.g., strawberry and strawberry,
2 or the taste of the oil flavoring may be complementary to the capsule flavoring, e.g., banana and
3 strawberry.

4
5 Fish oil containing Omega 3 fatty acids such as eicosapentenoic acid (EPA) and
6 docosahexenoic acid (DHA) are one appropriate subject of inclusion into certain preferred
7 embodiments of the present invention. The capsule of these certain preferred embodiments is
8 flavored and the fish oil may optionally be flavored.

9
10 The method of the present invention includes the manufacturing process steps of
11 combining gelatin, a glycerol or a polyol like sorbitol as a softener, water or a moisturizer
12 containing water, a flavoring agent and optionally a coloring agent such as a titanium oxide,
13 keratin or other suitable coloring agent known in the art.

14
15 Modified vegetable starch is substituted for gelatin in certain preferred embodiments of
16 the present invention. Where gelatin is used, the gelatin may be a suitable mammalian or fish
17 gelatin known in the art. The suitable gelatin or vegetable starch selected is used as a principal
18 forming agent of the capsule.

19 20 21 BRIEF DESCRIPTION OF DRAWINGS

22
23 FIG. 1 is a flavored gelatin capsule containing a fish oil dose.

24 FIG. 2 is manufacturing process flow chart illustrating an embodiment of the method of
25 the present invention.

26 27 28 DETAILED DESCRIPTION OF THE PREFERRED EMBODIMENTS

29
30 In describing the preferred embodiments, certain terminology will be utilized for the sake

1 of clarity. Such terminology is intended to encompass the recited embodiment, as well as all
2 technical equivalents which operate in a similar manner for a similar purpose to achieve a similar
3 result.

4
5 Referring now to Figure 1, an encapsulated composition of a gelatin capsule and fish oil 2,
6 or fish oil capsule 2, is formed by the encapsulation of a dose of fish oil 6 by a gelatin capsule 4.
7 The gelatin capsule 4 is made of gelatin, glycerol, water, a flavoring and optionally a coloring
8 agent. The fish oil dose 6 includes 180 mg of EPA and 120 mg of DHA.

9
10 Referring now to Figure 1 and 2, the manufacturing process of the preferred embodiment
11 2 of includes the steps of combining gelswatch ingredients, melting and forming a liquefied
12 gelswatch, delivering the liquefied gelswatch and the fish oil 6 to an encapsulation machine,
13 encapsulating a dose of fish oil, drying the encapsulated dose, washing the encapsulated dose and
14 packaging the fish oil capsules 2 for shipment.

15
16 The gelswatch ingredients may include gelatin or a gelatin substitute such as modified
17 starch or other suitable gelatin substitute known in the art, a softener such as glycerol or sorbitol
18 or other suitable polyol or other gelatin softener known in the art, a flavoring agent such as
19 strawberry flavor Firmenich #52311A or other suitable gelatin capsule flavoring known in the art
20 and optionally a coloring agent such as keratin or other suitable gelatin capsule coloring agent
21 known in the art.

22
23 The preferred embodiment 2 may be formed from a gelswatch mixture of 45 parts by
24 weight of gelatin, 20 parts by weight of glycerol, 35 parts by weight of water and 0.5 or more
25 parts by weight of strawberry flavor Firmenich #52311A. The gelswatch ingredients are then
26 heated to about 60 degrees to 70 degrees Celsius and mixed together. The capsule is made of the
27 gelswatch material. The liquefied gelswatch and the fish oil 6 is then poured into an encapsulation
28 machine. The encapsulation machine then
29 forms the fish oil capsule 2 comprising the fish oil dose 6 encapsulated by the gelatin capsule 4.

30

1 In certain alternate preferred embodiments of the present invention the range of water
2 parts initially combined with the gelswath may range from about 10 parts by weight to about 45
3 parts by weight; the amount of gelatin initially combined into the gelswath may range from 10
4 parts by weight to about 70 parts by weight; and the amount of glycerol or other suitable softener
5 known in the art may range from about 10 parts by weight to about 35 parts by weight.

6
7 The capsule composition 2 comprises about 500 milligrams of the fish oil dose 6 and
8 about 240 milligrams of capsule 4 as formed from the gelswath.

9
10 The fish oil capsule composition 2 is then dried at a temperature of about 20 degrees
11 Celsius. The water content of the gelatin capsule is reduced to about 8% +/- 2% by evaporation
12 during the drying process step. The capsule 2 is then washed and packaged for shipment.

13
14 Experimental testing of the effects of varying amounts of the flavoring in both the capsule
15 4 and the fish oil 6 has shown that a concentration of 0.5% in the fish oil 6 of the Firmenich
16 #52311A flavor will degrade in less than a year's span to below a desirable level of potency to the
17 average North American consumer. Levels of 1 part by weight are preferred in order to extend
18 the effective shelf life of the composition 2 beyond one year.

19
20 In addition, stream of commerce testing of concentration levels of Firmenich #52311A has
21 shown that a level in excess of about 1.0 part by weight of the Firmenich #52311A in the capsule
22 4 provides an unexpected increase in the palatability of the composition 2 by generating a flavored
23 bouquet from the capsules 2, whereby the consumer is greatly encouraged to ingest the
24 composition 4 in a favorable response to his or her olfactory appreciation of the bouquet.

25
26 Certain preferred embodiments comprise fish oil presenting concentrations of Omega 3
27 fish as high or higher than 80% of the total weight of the dose 6, wherein the fish oil may include
28 50% DHA of the total weight of the dose 6, 20% EPA of the total weight of the dose 6 and about
29 10% by weight of other Omega 3 compounds. The concentration levels of the flavoring additive
30 of a fish oil dose may, in certain preferred embodiments of the present invention having about

80% by weight of Omega 3 components, is reduced to from about 0.25% by weight to about 0.50% by weight of the dose

Those skilled in the art will appreciate that various adaptations and modifications of the just-described preferred embodiments can be configured without departing from the scope and spirit of the invention. Therefore, it is to be understood that, within the scope of the appended claims, the invention may be practiced other than as specifically described herein.